

Dear Customers,  
尊貴的客户：

**Important Notice 重要通知**

CITIC Securities Brokerage (HK) Limited (“CSBHK”) and CITIC Securities Futures (HK) Limited (“CSFHK”) hereby draw your attention to the risk disclosure statement attached herewith (the “Risk Disclosure Statement”) and that contained in the Terms and Conditions for Securities Trading of CSBHK and / or the Terms and Conditions for Futures and Options Trading of CSFHK (as the case may be) and any Addendum (if applicable) and the Customer is reminded that any of the above may be amended from time to time. 中信証券經紀（香港）有限公司（“中信証券經紀香港”）及中信証券期貨（香港）有限公司（“中信証券期貨香港”）特此提閣下注意及閱讀本函附上之風險披露聲明（“風險披露聲明”）及載於中信証券經紀香港之證券買賣條款及 / 或中信証券期貨香港之期貨及期權買賣條款之風險披露聲明及其附件。客戶獲悉上述檔會不時更新。

CSBHK/CSFHK  
中信証券經紀香港/中信証券期貨香港

**Customer’s acknowledgement 客戶確認**

I / We confirm that I / we have received, read and understood the (a) Terms and Conditions for Securities Trading CSBHK and / or the Terms and Conditions for Futures and Options Trading of CSFHK (as the case may be), including the risk disclosure statement therein, and any Addendum (if applicable) in the language at my/our choice; (b) the Risk Disclosure Statement, and that I / we have been drawn attention to the above, and have been invited to ask questions and to take independent advice (if I / we wish). 本人 / 吾等確認已收到、閱讀並明白(a)按本人 / 吾等選擇的語言提供的中信証券經紀香港證券買賣條款及 / 或中信証券期貨香港期貨及期權買賣條款(視屬何情況而定),包括其中附上的風險披露聲明,及附錄(如適用);(b)風險披露聲明。本人並已獲邀注意及閱讀上述檔、提出問題及徵求獨立意見(如本人/吾等有此意願)。

客戶簽署  
Signature(s) of customer(s): \_\_\_\_\_

客戶名稱  
Customer’s Name: \_\_\_\_\_

日期  
Date: \_\_\_\_\_

\_\_\_\_\_  
見證人簽署 Signature of witness

**Internal Use only**

戶口號碼  
Account number: \_\_\_\_\_

Saleperson / AE confirms that he/she has contacted the Customer directly by recorded phone (**Date:** \_\_\_\_\_  
**Time:** \_\_\_\_\_) and has drawn the Customer’s attention to all relevant risk disclosures and reminders.

經紀簽署  
Signature(s): \_\_\_\_\_  
Salesperson / AE Name, AE Code: \_\_\_\_\_  
日期  
Date: \_\_\_\_\_  
Verified by CSO \_\_\_\_\_

審批  
Approved by: \_\_\_\_\_  
審批  
Approved by: \_\_\_\_\_  
(Applicable to total exposure < or = \$0.5m) Branch Manager/ Team Head (Applicable to total exposure > \$0.5m) Respective Business Unit Head  
Name: \_\_\_\_\_ Name: \_\_\_\_\_