



中信証券經紀(香港)有限公司
CITIC SECURITIES BROKERAGE (HK) LIMITED

證券帳戶號碼:
Securities Account No.: _____

中央結算交收指示 / 投資者交收指示表格
CCASS SI / ISI INSTRUCTION FORM

注意: 為方便輸入, 請以英文填寫本表格
Note: For easy input, please fill this form in English

客戶姓名:
Client Name: _____

請從上述戶口按以下資料通過中央交收及結算系統辦理有關指示, 簽署人確認此表格所記載之資料均正確無誤, 並對所發出指令承擔全部責任。
Please effect for the above account the settlement of the securities transaction listed below via Central Clearing and Settlement System. The undersigned confirm the accuracy of all information contained herein and accept full responsibility for the instruction given.

- 存入/Receive 本交收指示不牽涉實益擁有權的變更
 提取/Deliver **There is no change of beneficiary ownership (NCBO) through effecting this settlement instruction**

股票編號 Stock Code	股票名稱 Stock Name	數量 Quantity	貨銀對付交收 DVP "D"/ 無須付款交收 FOP "F"	款項數額(港幣) Amount (HK\$)	指示輸入號碼 (此欄由本公司填寫) SI Input No. (For internal use only)

對手中央結算編號 Counterparty's CCASS Participant ID: _____

對手名稱 (經紀行 / 銀行 / 中央結算投資者):
Name of Counterparty (Broker/ Bank / CCASS Investor) : _____

聯絡人 Contact Person: _____ 聯絡電話號碼 Contact Telephone No.: _____

交收日期(日/月/年) Settlement Date (dd/mm/yyyy): _____

其他指示 Other Instructions: _____

簽署: _____ 日期: _____
Signature(s): _____ Date: _____
客戶/獲授權人士 Client/Authorized Person

以下由本公司填寫: / For internal use only:			賬戶開戶日期: / Account Opening Date: _____		
Existing Debit Interest Rate: % p.a. (i.e. Prime Rate + %)			是否為新賬戶: / New Account: 是 Yes / 否 No		
Before withdrawal		After withdrawal		擬備:	
Credit Limit (a)				Prepared By:	
Margin value (b)				結餘查核:	
Account balance (c)		Account balance (f) = (c) - withdrawal		Balance Checked By:	
Available margin (d) = (b)+ (c)		Available margin (g) = (b)+ (f)		簽名核對:	
Loan to margin ratio (e)=Max [0, -(c)/(b) x 100%]		Loan to margin ratio (h) = -(f)/(b) x 100%		Signature Verified By:	
批核:				輸入:	
Approved By:				Input By:	
				輸入核對:	
				Input Checked By:	
				中央結算輸入:	
				CCASS Input By:	
				中央結算批核:	
				CCASS Authorized By:	