

**GENERAL SERVICE FEES & TRANSACTION CHARGES 一般服務收費及交易費用**

Relevant service fees &amp; transaction charges will be withdrawn from your accounts on the receipt day of instruction

Effective Date: 22 Jul 2021

有關服務收費及交易費用將於指令接收日從閣下賬戶中支取

生效日期:2021年7月22日

| <b>Hong Kong Securities – Trade-Related Services</b><br>香港證券 – 證券交易服務   |  |
|---|--|
| Brokerage Commission*<br>經紀佣金*  | 0.25% of transaction amount, minimum HK\$100<br>成交金額之 0.25%, 最低收費港幣 100 元  |
| Stamp Duty**<br>印花稅**   | 0.13% of transaction amount (rounded up to the nearest dollar)<br>成交金額之 0.13% (不足 1 元亦作 1 元計)  |
| Transaction Levy<br>交易徵費  | 0.0027% of transaction amount<br>成交金額之 0.0027%   |
| Trading Fee<br>交易費  | 0.005% of transaction amount<br>成交金額之 0.005%   |
| Trading Tariff<br>交易系統使用費   | Waived<br>豁免   |
| Italian Financial Transaction Tax (IFTT) (Collected for Italian Government) (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong)<br>代收義大利金融交易稅 (義大利政府)<br>(只適用於香港上市的義大利公司) | Daily net purchased shares x average purchasing price x tax rate (0.1%)<br>當日淨買入股數 x 當日平均買入價 x 稅率(0.1%)  |
| * Including Prelisting trade<br>* 包括暗盤交易  |  |
| ** Come into effect on 1 August 2021<br>** 由 2021 年 8 月 1 日起生效  |  |
| <b>Hong Kong Securities – Scrip Handling and Settlement-Related Services</b><br>香港證券 – 處理實物股票及交收服務  |  |
| CCASS Stock Settlement Fee<br>中央結算系統的股份交收費  | Waived<br>豁免   |
| Physical Scrip Deposit Fee<br>存入實物股票費   | Waived<br>豁免   |
| Physical Scrip Withdrawal Fee<br>提取實物股票費  | HK\$5 per lot (minimum HK\$30)<br>每手港幣 5 元 (最低收費港幣 30 元)   |
| Transfer Deed Stamp Duty<br>轉手紙釐印費  | HK\$5 per transfer deed<br>每張轉手紙港幣 5 元   |
| Settlement Instruction Fee (stock deliver out)<br>交收指示費 (轉出股票)  | 0.01% of market value (based on previous closing price) [minimum HK\$11] and handling fee HK\$100 per stock<br>貨值(以上日收市價計算)之 0.01% [最低收費港幣 11 元] 及手續費每只股票港幣 100 元  |
| Stock Transfer Between Accounts, Stock Receipt/Delivery (e.g. non-Exchange or OTC transactions) [Note 4]<br>股份轉賬 · 股份收取 / 交付 (如非交易所或場外交易) [註 4]   | Service fee* at 0.25% of stock market value (based on receipt & delivery day's previous closing price), minimum HK\$100<br>服務費* 按股份市值 (收取 / 交付之上日收市價) 之 0.25% 計算 [最低收費港幣 100 元]<br>* charge on both receive and deliver sides (if both accounts are maintained with CSBHK)<br>* 收取方及交付方分別收取(如雙方戶口均開設於中信証券經紀香港)<br>* PRIOR APPROVAL MUST BE OBTAINED 必須取得有效批准 |
| Investor Settlement Instruction Fee<br>投資者交收指示費   | HK\$5 per transaction<br>每項指示港幣 5 元  |
| Money Settlement Fee : Outward Payments<br>款項交收費: 對外支帳  | According to the currency of the transfer, plus overseas bank charges (if any)<br>收費根據該項轉帳/電匯之貨幣收取 · 另加海外銀行收費(如有)  |
| Local bank transfer & Overseas Telegraphic Transfers<br>本地銀行轉賬及海外電匯   | AUD 澳元: AUD 25<br>CNY 人民幣: CNY 150<br>EUR 歐羅: EUR 20<br>GBP 英鎊: GBP 15<br>HKD 港元: HKD 200<br>JPY 日圓: JPY 2,500<br>SGD 新加坡元: SGD 30<br>USD 美元: USD 25   |
| Money Settlement Fee: Returned Cheque<br>款項交收費用: 退票   | Prime rate + 6% per annum (minimum HK\$200)<br>年息為最優惠利率+6% (最低收費港幣 200 元)  |
| Money Settlement Fee: Early Settlement Handling Charge<br>款項交收費: 提早交收手續費  | HK\$200 per transaction<br>每宗收費港幣 200 元  |
| Compulsory Share Buy-Back<br>強制性補購股份  | 0.25% on consideration + trade-related services charge<br>成交金額之 0.25% 加相關之交易費用   |

**Securities – Information Services**
**證券 – 證券資訊服務**

| HK Market RTQ<br>港股實時報價服務                     | Hong Kong and Overseas Client<br>香港及海外用戶   | China Client<br>中國用戶   |
|---|--|--|
| iTrade<br>(Provided by AASTocks)<br>(由阿斯達克提供) | QuoteWinner International (web version)<br>Monthly fee HK\$360<br>股勝通國際(網頁版)<br>月費港幣 360 元               | QuoteWinner China (web version)<br>Monthly fee HK\$190<br>股勝通中國(網頁版)<br>月費港幣 190 元               |
|   | AASTOCKS 2007 International (download version)<br>Monthly fee HK\$430<br>阿斯達克 2007 國際(下載版)<br>月費港幣 430 元 | AASTOCKS 2007 China (download version)<br>Monthly fee HK\$190<br>阿斯達克 2007 中國(下載版)<br>月費港幣 190 元 |
| TDX<br>通達信                                    | Monthly fee HK\$330<br>月費港幣 330 元  | Monthly fee HK\$220<br>月費港幣 220 元  |
| TSCI<br>環球快車及交易寶                              | Monthly fee HK\$390<br>月費港幣 390 元  | Monthly fee HK\$220<br>月費港幣 220 元  |
| XeT International<br>信 e 投國際                  | Monthly fee HK\$300<br>月費港幣 300 元  | Monthly fee HK\$200<br>月費港幣 200 元  |

Monthly fee will be waived for 15 or more executed transactions or over HK\$2 million turnover in HK market on that month. (Applicable to all RTQ services)  
如客戶當月完成 15 宗或以上港股交易或總交易金額達港幣二百萬或以上，該月報價服務可獲豁免月費。(適用於所有實時報價服務)

**Hong Kong Securities / Futures / Stock Options – Other Account Services**
**香港證券 / 期貨 / 股票期權 – 其他帳戶服務**

|   |   |
|---|---|
| Custody Fee<br>股票託管費 (倉費)   | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1% 計算及按月收取。(如適用)   |
| Duplicate Statement Copy<br>結單副本  | HK\$30 for each statement copy<br>每份結單副本收費港幣 30 元   |
| Audit Confirmation<br>核數證明  | HK\$300 per confirmation<br>每份港幣 300 元  |
| Reference Letter<br>財務諮詢函件  | HK\$300 per letter<br>每封函件港幣 300 元  |
| Dormant Account Fee<br>不動戶口費  | HK\$50 / CNY40 / USD10 or HKD equivalent in other currencies per annum<br>(Applicable to accounts without transactions or fund/stock transfer for 2 years or above)<br>每年收費港幣 50 元 / 人民幣 40 元 / 10 美元 / 或港幣等值之金額<br>(適用於 2 年或以上未有交易或資金/股票往來之戶口) |
| Stock Segregated Account (With CCASS Statement) Service Fee<br>獨立股份戶口服務費 (附寄中央結算系統結單服務) | HK\$100 monthly<br>每月收費港幣 100 元   |
| Hold Mail Service Fee<br>郵件代存服務費  | HK\$100 monthly<br>每月收費港幣 100 元   |
| Physical Statement Delivery Charge<br>郵寄結單費   | HK\$100 per annum<br>每年收費港幣 100 元   |

**Hong Kong Securities – Nominee Services and Corporate Actions**
**香港證券 – 代理人服務及企業行動**

|   |   |
|---|---|
| Scrip Fee<br>登記過戶費  | HK\$1.5 / CNY1.28* per lot (shares held under HKSCC Nominees before book-close date)<br>每手港幣 1.5 元 / 人民幣 1.28 元* (於截止過戶日前以香港結算代理人公司名義過戶登記之股票) |
| Handling/collection of Cash/Scrip Dividends<br>處理/代收現金股息/股票股息   | 0.5% of the dividend amount (minimum HK\$20 / CNY17*)<br>股息之 0.5% (最低收費港幣 20 元 / 人民幣 17 元*)   |
| Handling/collection of bonus shares<br>處理/代收紅股  | Waived<br>豁免  |
| Share registration<br>股份轉名  | HK\$7.5 per lot (minimum HK\$30)<br>每手港幣 7.5 元 (最低收費港幣 30 元)  |
| Handling of Rights Issue / Rights/Warrants Exercise / Tendering<br>Shares Under A Takeover Bid, etc.<br>處理供股權 / 行使供股/認股權 / 在收購要約下提交股份費, 等 | HK\$0.8 / CNY0.68* per lot, plus HK\$20 / CNY17* handling fee<br>每手港幣 0.8 元 / 人民幣 0.68 元*, 加手續費港幣 20 元 / 人民幣 17 元*                            |
| Excess Rights Application<br>申請額外供股權  | Waived<br>豁免  |
| Handling Share Consolidation / Split<br>處理股份合併 / 分拆   | Waived<br>豁免  |

\* Service charges are subject to denominated currency.

\* 服務收費以計價貨幣計算。

## Hong Kong Securities – Other Services, including Margin and IPO Subscription Financing

香港證券 – 其他服務之費用, 包括孖展及新股認購借貸

|  |   |
|--|---|
| Margin financing interest<br>保證金 (俗稱“孖展”) 帳戶借貸利息   | Prime Rate + 2.5% per annum<br>年息為最優惠利率 + 2.5%  |
| Additional loan interest (loan exceed margin value)<br>附加借貸利息 (超出按倉值借貸)                        | Margin financing interest + 5% per annum<br>保證金借貸年利息 + 5%   |
| Administration fees for Cash Withdrawal on Margin*<br>現金提取孖展借貸之行政費*                            | 0.5% of the loan amount (minimum HK\$1,000; maximum HK\$10,000)<br>借貸額之 0.5% (最低收費港幣 1,000 元, 最高收費港幣 10,000 元)  |
| IPO subscription (financing)<br>新股認購 (借貸)  | HK\$100 per transaction<br>每次收費港幣 100 元   |
| IPO subscription (non-financing) – by eIPO<br>新股認購 (非借貸) – 通過 eIPO                             | HK\$50 per transaction<br>每次收費港幣 50 元   |
| IPO subscription - (non-financing) – Yellow Form / not by eIPO<br>證券認購 - (非借貸) - 黃表 / 非通過 eIPO | HK\$100 per form<br>每張收費港幣 100 元  |
| Cash Account overdue settlement interest<br>現金帳戶過期交收利息   | Prime rate + 6% per annum<br>年息為最優惠利率 + 6%  |
| IPO subscription financing interest^<br>新股認購借貸利息^  | At prevailing market rate<br>根據當時市場利率   |
| IPO subscription - late or under payment of down deposit<br>新股認購 - 逾期或不足繳付認購按金                 | Late or under payment of HK\$2,000 or above, charge 0.1% per day on total amount of outstanding down deposit (No minimum charge)<br>欠款額達港幣 2,000 元或以上, 每日收取欠款額之 0.1% (按日計算, 不設最低收費) |
| Handling of unclaimed benefits entitlement<br>處理追收未領取權益  | HK\$300 per claim per stock, plus other charges incurred.<br>(Non-refundable even claim is unsuccessful)<br>以每隻股票計, 每項追收股息, 收費為港幣 300 元, 及其他有關之費用<br>(不論追收成功與否均需繳付)                 |

\* No administration fee will be charged for the following cases: (i) Money transfer between client's securities / futures / stock option trading account or (ii) Cash withdrawal by DVP

\* 以下情況不收取行政費: (i) 客戶證券/期貨/股票期權交易帳戶之間的資金轉帳或 (ii) 貨銀對付提取現金

^ Once Customer has placed IPO order with applying loan amount to CSBHK, CSBHK reserve the right and has discretion to continue charging the applied interest charge on the loan amount incurred in the IPO application even when customer requires to cancel the application order.

^ 客戶一經向中信証券經紀香港申請 IPO 及其貸款金額, 盡管客戶要求取消其有關申請, 中信証券經紀香港保留權利並有權繼續對其 IPO 申請中的貸款金額收取所產生的利息。

## Overseas Stocks – Trade-Related Services

海外股票 – 交易服務

## Shanghai-Hong Kong Stock Connect (Northbound Trading) 滬股通 (滬港通北向交易)

|  |   |
|--|---|
| Brokerage Commission<br>經紀佣金   | 0.2% of transaction amount (minimum CNY 80)<br>成交金額之 0.2% (最低收費人民幣 80 元)  |
| Handling Fee<br>經手費  | 0.00487% of the consideration of a transaction per side<br>雙邊成交金額 0.00487%  |
| Securities Management Fee<br>證管費   | 0.002% of the consideration of a transaction per side<br>雙邊成交金額 0.002%  |
| Transfer Fee<br>過戶費  | 0.002% (under ChinaClear's) & 0.002% (under CCASS) of the consideration of a transaction per side<br>雙邊成交金額 0.002% (根據中國結算) 及 0.002% (根據中央結算)                         |
| Stamp Duty<br>交易印花稅  | 0.1% of the consideration of a transaction on the seller<br>賣方成交金額 0.1%   |
| Dividend Tax<br>紅利稅  | 10% of the dividend amount<br>股息總額 10%  |
| Capital Gain Tax<br>資本增值稅  | Waived<br>暫未徵收  |
| Portfolio Fee<br>組合費   | Multiple rates for different portfolio value, maximum 0.008% ( <b>currently waived until further notice</b> )<br>按照投資組合價值實行多等級收費, 最高為 0.008% (現時豁免直至另行通知)             |
| Money Settlement Fee: Handling Charge<br>款項交收費: 手續費  | HK\$200 per transaction<br>每宗收費港幣 200 元   |
| Settlement Instruction Fee (stock deliver out)<br>交收指示費 (轉出股票)   | 0.002% of market value (based on previous closing price) [minimum HK\$11] and handling fee HK\$100 per stock<br>貨值 (以上日收市價計算) 之 0.002% [最低收費港幣 11 元] 及手續費每只股票港幣 100 元 |
| Dividend Collection Fee<br>股息代收費用  | 0.5% of the dividend amount (minimum HK\$20 / CNY17*)<br>股息之 0.5% (最低收費港幣 20 元 / 人民幣 17 元*)   |
| Handling of Rights Issue / Rights/Warrants Exercise / Tendering Shares Under A Takeover Bid, etc.<br>處理供股權 / 行使供股/認股權 / 在收購要約下提交股份費, 等 | HK\$0.8 / CNY0.68* per lot, plus HK\$20 / CNY17* handling fee<br>每手港幣 0.8 元 / 人民幣 0.68 元*, 加手續費港幣 20 元 / 人民幣 17 元*  |
| Cash account overdue settlement interest<br>現金帳戶過期交收利息   | Prime rate + 6% per annum<br>年息為最優惠利率 + 6%  |

**Shenzhen-Hong Kong Stock Connect (Northbound Trading) 深股通 (深港通北向交易)**

|  |   |
|--|---|
| Brokerage Commission<br>經紀佣金   | 0.2% of transaction amount (minimum CNY 80)<br>成交金額之 0.2% (最低收費人民幣 80 元)  |
| Handling Fee<br>經手費  | 0.00487% of the consideration of a transaction per side<br>雙邊成交金額 0.00487%  |
| Securities Management Fee<br>證管費   | 0.002% of the consideration of a transaction per side<br>雙邊成交金額 0.002%  |
| Transfer Fee<br>過戶費  | 0.002% (under ChinaClear's) & 0.002% (under CCASS) of the consideration of a transaction per side<br>雙邊成交金額 0.002%(根據中國結算) 及 0.002%(根據中央結算)                         |
| Stamp Duty<br>交易印花稅  | 0.1% of the consideration of a transaction on the seller<br>賣方成交金額 0.1%   |
| Dividend Tax<br>紅利稅  | 10% of the dividend amount<br>股息總額 10%  |
| Capital Gain Tax<br>資本增值稅  | Waived<br>暫未徵收  |
| Portfolio Fee<br>組合費   | Multiple rates for different portfolio value, maximum 0.008% (currently waived until further notice)<br>按照投資組合價值實行多等級收費 · 最高為 0.008% (現時豁免直至另行通知)                   |
| Money Settlement Fee: Handling Charge<br>款項交收費: 手續費  | HK\$200 per transaction<br>每宗收費港幣 200 元   |
| Settlement Instruction Fee (stock deliver out)<br>交收指示費 (轉出股票)   | 0.002% of market value (based on previous closing price) [minimum HK\$11] and handling fee HK\$100 per stock<br>貨值(以上日收市價計算)之 0.002% [最低收費港幣 11 元] 及手續費每只股票港幣 100 元 |
| Dividend Collection Fee<br>股息代收費用  | 0.5% of the dividend amount (minimum HK\$20 / CNY17*)<br>股息之 0.5% (最低收費港幣 20 元 / 人民幣 17 元*)   |
| Handling of Rights Issue / Rights/Warrants Exercise / Tendering Shares Under A Takeover Bid, etc.<br>處理供股權 / 行使供股/認股權 / 在收購要約下提交股份費, 等 | HK\$0.8 / CNY0.68* per lot, plus HK\$20 / CNY17* handling fee<br>每手港幣 0.8 元 / 人民幣 0.68 元*, 加手續費港幣 20 元 / 人民幣 17 元*  |
| Cash account overdue settlement interest<br>現金帳戶過期交收利息   | Prime rate + 6% per annum<br>年息為最優惠利率+ 6%   |

| China B Share 中國 B 股  | Shanghai 上海  | Shenzhen 深圳  |
|---|--|--|
| Brokerage Commission<br>經紀佣金  | 0.35% of transaction amount (minimum USD20)<br>成交金額之 0.35% (最低收費 20 美元)  | 0.35% of transaction amount (minimum HK\$150)<br>成交金額之 0.35% (最低收費港幣 150 元)  |
| Stamp Duty<br>印花稅   | 0.1% of transaction amount (for SALE trade only)<br>成交金額之 0.1% (只適用於賣方)  | 0.1% of transaction amount (for SALE trade only)<br>成交金額之 0.1% (只適用於賣方)  |
| Transaction Levy<br>交易徵費  | 0.00687% of transaction amount<br>成交金額之 0.00687%   | 0.00687% of transaction amount<br>成交金額之 0.00687%   |
| Clearing Fee<br>結算費   | 0.002% of transaction amount (maximum USD50)<br>成交金額之 0.002% (上限為 50 美元)   | 0.002% of transaction amount (maximum HK\$500)<br>成交金額之 0.002% (上限為港幣 500 元)   |
| Settlement Fee (For client with Custody service only)<br>交收費 (僅適用於附託管服務之客戶) | USD60 per trade<br>每宗交易 60 美元  | HK\$468 per trade<br>每宗交易港幣 468 元  |
| Dividend Collection Fee<br>股息代收費用   | 0.75% of gross dividend amount (minimum USD10)<br>股息之 0.75% (最低收費 10 美元)   | 0.75% of gross dividend amount (minimum HKD50)<br>股息之 0.75% (最低收費港幣 50 元)  |
| Dividend Tax<br>股息稅   | 10% of gross dividend (if applicable)<br>股息之 10% (如適用)   | 10% of gross dividend (if applicable)<br>股息之 10% (如適用)   |
| Custodian Fee<br>託管費  | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |

**Australia 澳洲**

|                                    |   |
|------------------------------------|---|
| Brokerage Commission<br>經紀佣金       | 0.5% of transaction amount (minimum AUD50)<br>成交金額之 0.5% (最低收費 50 澳元)   |
| Settlement Fee<br>交收費              | AUD30 per trade<br>每宗交易 30 澳元   |
| Dividend Collection Fee<br>股息代收費用  | 0.75% of gross dividend (minimum AUD10)<br>股息之 0.75% (最低收費 10 澳元)   |
| Dividend Tax<br>股息稅                | 30% of gross dividend<br>股息之 30%  |
| Electronic Deposit Fee<br>電子存入費    | AUD40 per trade<br>每宗交易 40 澳元   |
| Electronic Withdrawal Fee<br>電子提出費 | AUD40 per trade<br>每宗交易 40 澳元   |
| Custodian Fee<br>託管費               | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1% 計算及按月收取。(如適用) |

**Germany 德國**

|                                    |   |
|------------------------------------|---|
| Brokerage Commission<br>經紀佣金       | 0.2% of transaction amount (minimum EUR15)<br>成交金額之 0.2% (最低收費 15 歐元)   |
| Settlement Fee<br>交收費              | EUR20 per trade<br>每宗交易 20 歐元   |
| Dividend Collection Fee<br>股息代收費用  | 0.75% of gross dividend (minimum EUR10)<br>股息之 0.75% (最低收費 10 歐元)   |
| Electronic Deposit Fee<br>電子存入費    | EUR40 per trade<br>每宗交易 40 歐元   |
| Electronic Withdrawal Fee<br>電子提出費 | EUR40 per trade<br>每宗交易 40 歐元   |
| Custodian Fee<br>託管費               | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1% 計算及按月收取。(如適用) |

**Japan 日本**

|                                    |   |
|------------------------------------|---|
| Brokerage Commission<br>經紀佣金       | 0.3% of transaction amount (minimum JPY3,000)<br>成交金額之 0.3% (最低收費 3,000 日圓)   |
| Settlement Fee<br>交收費              | JPY2,300 per trade<br>每宗交易 2,300 日圓   |
| Dividend Collection Fee<br>股息代收費用  | 0.75% of gross dividend (minimum JPY1,000)<br>股息之 0.75% (最低收費 1,000 日圓)   |
| Dividend Tax<br>股息稅                | 15.315% of gross dividend<br>股息之 15.315%  |
| Electronic Deposit Fee<br>電子存入費    | JPY 3,600 per trade<br>每宗交易 3,600 日圓  |
| Electronic Withdrawal Fee<br>電子提出費 | JPY 3,600 per trade<br>每宗交易 3,600 日圓  |
| Custodian Fee<br>託管費               | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1% 計算及按月收取。(如適用) |

**Malaysia 馬來西亞**

|                                    |  |
|------------------------------------|--|
| Brokerage Commission<br>經紀佣金       | 0.5% of transaction amount (minimum MYR200)<br>成交金額之 0.5% (最低收費 200 馬來西亞幣)                                       |
| Settlement Fee<br>交收費              | MYR130 per trade<br>每宗交易 130 馬來西亞幣   |
| Clearing Fee<br>結算費                | 0.03% of transaction amount (minimum MYR10 and maximum MYR1,000)<br>成交金額之 0.03% (最低收費 10 馬來西亞幣和最高收費 1,000 馬來西亞幣) |
| Stamp Duty<br>印花稅                  | 0.1% of transaction amount (minimum MYR40 and maximum MYR200)<br>成交金額之 0.1% (最低收費 40 馬來西亞幣和最高收費 200 馬來西亞幣)       |
| Sales and Service tax<br>銷售及服務稅    | 0.0018% of transaction amount<br>成交金額之 0.0018 %  |
| Dividend Collection Fee<br>股息代收費用  | 0.75% of gross dividend (minimum MYR 50)<br>股息之 0.75% (最低收費 50 馬來西亞幣)  |
| Electronic Deposit Fee<br>電子存入費    | USD70 per trade<br>每宗交易 70 美元  |
| Electronic Withdrawal Fee<br>電子提出費 | USD70 per trade<br>每宗交易 70 美元  |

|                      |  |
|----------------------|--|
| Custodian Fee<br>託管費 | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |
|----------------------|--|

### Philippines 菲律賓

|                                    |  |
|------------------------------------|--|
| Brokerage Commission<br>經紀佣金       | 0.5% of transaction amount (minimum PHP6,600)<br>成交金額之 0.5% (最低收費 6,600 菲律賓披索)   |
| PCD Fee<br>中央託管費                   | 0.01% of transaction amount<br>成交金額之 0.01%   |
| VAT Charge<br>增值稅                  | 0.06% of transaction amount<br>成交金額之 0.06%   |
| Settlement Fee<br>交收費              | PHP1,760 per trade<br>每宗交易 1,760 菲律賓披索   |
| Sales Tax<br>銷售稅                   | 0.6% of transaction amount (for SALE trade ONLY)<br>成交金額之 0.6% (只適用於賣方)  |
| Electronic Deposit Fee<br>電子存入費    | PHP1,760 per trade<br>每宗交易 1,760 菲律賓披索   |
| Electronic Withdrawal Fee<br>電子提出費 | PHP1,760 per trade<br>每宗交易 1,760 菲律賓披索   |
| Custodian Fee<br>託管費               | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |

### Singapore 新加坡

|                                    |  |
|------------------------------------|--|
| Brokerage Commission<br>經紀佣金       | 0.25% of transaction amount (minimum SGD50 / USD30)<br>成交金額之 0.25% (最低收費 50 新加坡元 / 30 美元)                                      |
| Clearing Fee<br>結算費                | 0.0325% of transaction amount<br>成交金額之 0.0325%   |
| SGX Trading Access Fee<br>交易費      | 0.0075% of transaction amount<br>成交金額之 0.0075%   |
| Settlement Fee<br>交收費              | SGD40 per trade / USD 30 per trade<br>每宗交易 40 新加坡元 / 30 美元   |
| Dividend Collection Fee<br>股息代收費用  | 0.75% of gross dividend (minimum SGD10)<br>股息之 0.75% (最低收費 10 新加坡元)  |
| Dividend Tax<br>股息稅                | Waived (except REIT of charging 10%-18% on gross dividend)<br>豁免 (房地產投資信託須收取股息之 10%-18%)                                       |
| Electronic Deposit Fee<br>電子存入費    | SGD116 per trade / USD78 per trade<br>每宗交易 116 新加坡元 / 78 美元  |
| Electronic Withdrawal Fee<br>電子提出費 | SGD116 per trade / USD78 per trade<br>每宗交易 116 新加坡元 / 78 美元  |
| Custodian Fee<br>託管費               | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |

### Taiwan 台灣

|                                   |  |
|-----------------------------------|--|
| Brokerage Commission<br>經紀佣金      | 0.5% of transaction amount (minimum TWD1,000)<br>成交金額之 0.5% (最低收費 1,000 台幣)  |
| Settlement Fee<br>交收費             | TWD1,500 per trade<br>每宗交易 1,500 台幣  |
| Sales Tax<br>銷售稅                  | 0.3% of transaction amount (for SALE trade ONLY)<br>成交金額之 0.3% (只適用於賣方)  |
| Dividend Tax<br>股息稅               | 20% of dividend consideration<br>股息總額之 20%   |
| Dividend Collection Fee<br>股息代收費用 | 0.75% of gross dividend (minimum TWD1,000)<br>股息之 0.75% (最低收費 1,000 台幣)  |
| Custodian Fee<br>託管費              | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |

**Thailand 泰國**

|                                    |  |
|------------------------------------|--|
| Brokerage Commission<br>經紀佣金       | 0.5% of transaction amount (minimum THB1,100)<br>成交金額之 0.5% (最低收費 1,100 泰幣)  |
| VAT Charge<br>增值稅                  | 0.0021% of transaction amount<br>成交金額之 0.0021%   |
| Settlement Fee<br>交收費              | THB1,300 per trade<br>每宗交易 1,300 泰幣  |
| Dividend Collection Fee<br>股息代收費用  | 0.75% of gross dividend (minimum THB200)<br>股息之 0.75% (最低收費 200 泰幣)  |
| Dividend Tax<br>股息稅                | 10% of gross dividend<br>股息之 10%   |
| Electronic Deposit Fee<br>電子存入費    | THB2,600 per trade<br>每宗交易 2,600 泰幣  |
| Electronic Withdrawal Fee<br>電子提出費 | THB2,600 per trade<br>每宗交易 2,600 泰幣  |
| Custodian Fee<br>託管費               | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |

**United Kingdom 英國**

|                                    |  |
|------------------------------------|--|
| Brokerage Commission<br>經紀佣金       | 0.2% of transaction amount (minimum GBP15 / EUR15)<br>成交金額之 0.2% (最低收費 15 英鎊 / 15 歐元)  |
| PTM Levy<br>PTM 徵費                 | GBP1 / EUR1.25 per trade<br>每宗交易 1 英鎊 / 1.25 歐元  |
| Stamp Duty Reserve Tax<br>印花稅      | 0.50% of transaction amount for GBP stock / 1% for EUR stock (for BUY trade ONLY)<br>英鎊股票成交金額之 0.50% / 歐元股票成交金額之 1% (只適用於買方)   |
| Settlement Fee<br>交收費              | GBP20 / EUR20 per trade<br>每宗交易 20 英鎊 / 20 歐元  |
| Dividend Collection Fee<br>股息代收費用  | 0.75% of gross dividend (minimum GBP10 / EUR10)<br>股息之 0.75% (最低收費 10 英鎊 / 10 歐元)  |
| Electronic Deposit Fee<br>電子存入費    | GBP30 / EUR40 per trade<br>每宗交易 30 英鎊 / 40 歐元  |
| Electronic Withdrawal Fee<br>電子提出費 | GBP30 / EUR40 per trade<br>每宗交易 30 英鎊 / 40 歐元  |
| Custodian Fee<br>託管費               | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1%計算及按月收取。(如適用) |

**United States 美國**

|  |  |
|--|--|
| Brokerage Commission<br>經紀佣金                           | 0.2% per transaction (minimum USD 20)<br>每宗成交之 0.2% (最低收費 20 美元)   |
| Settlement Fee<br>交收費                                  | Waived<br>豁免   |
| SEC Fee<br>SEC 費用                                      | 0.00051% of transaction amount (for SALE trade ONLY) (minimum USD 0.01)<br>成交金額之 0.00051% (只適用於賣方) (最低收費 0.01 美元)                    |
| Trading Activity Fee<br>交易徵費                           | 0.000119 per share (for SALE trade ONLY) (minimum USD 0.01 and maximum USD 5.95)<br>每股 0.000119 (只適用於賣方) (最低收費 0.01 美元和最高收費 5.95 美元) |
| ADR Depository Fee<br>美國預託證券存管費                        | USD0.02 to 0.05 per share (if applicable)<br>每股 0.02 至 0.05 美元 (如適用)   |
| DTC Deposit Fee<br>DTC 存入費                             | USD30 per trade<br>每宗交易 30 美元  |
| DRS Deposit Fee<br>DRS 存入費                             | USD75 per trade<br>每宗交易 75 美元  |
| DWAC Deposit Fee<br>DWAC 存入費                           | USD75 per trade<br>每宗交易 75 美元  |
| Electronic Withdrawal Fee<br>電子提出費                     | USD30 per trade<br>每宗交易 30 美元  |
| Custodian Fee<br>託管費                                   | 0.1% p.a. on the month end market value of holdings and charged monthly. (If applicable)<br>以每月最後一天有關持倉市值按年率 0.1% 計算及按月收取。(如適用)      |
| Dividend Collection Fee<br>股息代收費用                      | 0.75% of gross dividend (minimum USD 10)<br>股息之 0.75% (最低收費 10 美元)   |
| Dividend Tax<br>股息稅                                    | 30% of gross dividend<br>股息之 30%   |
| ADR Annual Service Fee<br>美國預託證券週年服務費                  | USD0.02 per share (if applicable)<br>每股 0.02 美元 (如適用)  |
| DTC Envelope Settlement Service (ESS) fee<br>DTC 結算服務費 | USD100 per transaction per related securities<br>每只相關股票之每宗交易 100 美元  |

Note: Out-of-pocket and third party expense will be billed at cost separately (if applicable)

備註：代付費用或第三方費用將分別按成本收取（如適用）

As the regulatory environment continues to evolve, with customer protection as one of its primary mandates, effective June 22, 2015, we will no longer accept buy transaction in a security with a market cap below US\$50 million AND the price per share less than \$0.50. This policy does not apply to the liquidation of current holdings.

為配合動態監管環境要求及進一步加強投資者保障，由 2015 年 6 月 22 日起，將不再接受低於 5000 萬美元的市值和每股價格低於 0.50 美元的美國股票買入服務。

上述有關股份之賣出指令將不受影響。

\*\* Overseas Stocks – safe custody fees and other charges are determined based on amounts levied by brokers or local regulators in the specific locality.

\*\* 海外證券 – 股份託管費用及其他費用視乎當地經紀或監管機構徵費而訂。

**United States Securities – Margin Financing Service****美國證券 – 孖展融資**

|   |  |
|---|--|
| Margin financing interest<br>保證金 (俗稱“孖展”) 帳戶借貸利息                  | Prime Rate + 2.5% per annum<br>年息為最優惠利率 + 2.5%   |
| Administration fees for Cash Withdrawal on Margin<br>現金提取孖展借貸之行政費 | 0.5% of the loan amount (minimum HK\$1,000; maximum HK\$10,000)<br>借貸額之 0.5% (最低收費港幣 1,000 元, 最高收費港幣 10,000 元) |



**Bond – Trade-Related Fee****債券 - 交易及有關費用**

|  |   |
|--|---|
| Brokerage Commission<br>經紀佣金             | Up to 3% of transaction amount, subject to negotiation<br>不多於成交金額之 3% (面議)  |
| Custodian Fee<br>託管費                     | 0.04% (or above) p.a. on the month end market value or nominal value of holdings and charged monthly (minimum HK\$100 / CNY80 / USD20 per Bond)<br>以每月最後一天持債市值或票面值按年率 0.04%(或以上)計算及按月收取 (每只債券最低收費港幣 100 元/人民幣 80 元/20 美元) |
| Electronic Deposit Fee<br>電子存入費          | HK\$400 per trade<br>每宗交易港幣 400 元   |
| Electronic Withdrawal Fee<br>電子提出費       | HK\$400 per trade<br>每宗交易港幣 400 元   |
| Coupon Interest Collection Fee<br>代收利息費用 | 0.5% of the coupon interest amount (minimum HK\$20 / CNY17 / USD3) (if applicable)<br>股息之 0.5% (最低收費港幣 20 元 / 人民幣 17 元 / 3 美元) (如適用)  |
| Conversion to Equities<br>債券換股費          | HK\$100/CNY80/USD20 per issue<br>每宗交易港幣 100 元 / 人民幣 80 元 / 20 美元  |

**Structured Product – Trade-Related Fee****結構性產品 - 交易及有關費用**

|  |   |
|--|---|
| Rebate received by CSB<br>本公司收取的回扣             | For notes with tenor of 3 years or less: Up to 3% of the investment amount; for notes with tenor of more than 3 years: Up to 5% of investment amount.<br>有效期等於或少於 3 年的產品: 不多於投資金額之 3%<br>有效期多於 3 年的產品: 不多於投資金額之 5%            |
| Custodian Fee<br>託管費                           | 0.04% (or above) p.a. on the month end market value or nominal value of holdings and charged monthly (minimum HK\$100 / CNY80 / USD20 per note)<br>以每月最後一天持債市值或票面值按年率 0.04%(或以上)計算及按月收取 (每只產品最低收費港幣 100 元 / 人民幣 80 元 / 20 美元) |
| Electronic Deposit Fee<br>電子存入費                | Up to 1% of the market value (minimum HK\$400 per trade)<br>不多於市值之 1% (每宗交易最低收費港幣 400 元)  |
| Electronic Withdrawal Fee<br>電子提出費             | HK\$400 per trade<br>每宗交易港幣 400 元   |
| Coupon Interest Collection Fee<br>代收利息費用       | 0.5% of the coupon interest amount (minimum HK\$20 / CNY17 / USD3) (if applicable)<br>利息之 0.5% (最低收費港幣 20 元 / 人民幣 17 元 / 3 美元) (如適用)  |
| Conversion to Equities Handling Fee<br>債券換股手續費 | HK\$100 / CNY80 / USD20 per issue<br>每宗交易港幣 100 元 / 人民幣 80 元 / 20 美元  |

**Mutual Fund / Unit Trust – Trade-Related Fee****互惠基金/單位信託基金 - 交易及有關費用**

|   |   |
|---|---|
| Subscription / Redemption Fee<br>認購費/贖回費                                | Up to 3%, subject to negotiation and Conditions of the mutual fund / unit trust<br>不多於 3% (面議及按照有關互惠基金/單位信託條件而訂)  |
| Custodian Fee<br>託管費  | 0.1% (or above) p.a. on the month end market value or nominal value of holdings and charged monthly (minimum HK\$100 / CNY80 / USD20 per mutual fund / unit trust)<br>以每月最後一天市值或票面值按年率 0.1%(或以上)計算及 按月收取 (每只互惠基金/單位信託最低收費港幣 100 元 / 人民幣 80 元 / 20 美元) |
| Hedge Fee Administration Fee (for Hedge Fund only)<br>對沖費行政費 (僅適用於對沖基金) | 0.2% p.a. on the year end market value or nominal value of holdings and charged annually<br>以每年最後一天市值或票面值按年率 0.2%計算及每年收取  |
| Transfer Out Handling Fee<br>轉出手續費                                      | 0.1% of market value of each security (minimum HK\$1000 / CNY800 / USD200 for each security)<br>貨值之 0.1%(每只互惠基金/單位信託最低收費港幣 1000 元 / 人民幣 800 元 / 200 美元)   |
| Dividend Collection Fee<br>代收分紅費用                                       | 0.5% of the dividend amount (minimum HK\$20 / CNY17 / USD3) (if applicable)<br>股息之 0.5% (最低收費港幣 20 元 / 人民幣 17 元 / 3 美元) (如適用)   |

**Hong Kong Futures – Trading & Related Fee**

香港期貨 – 交易及有關費用

|  | Commission (per lot per side)<br>佣金 (每張單邊合約) |                       |                         |                       | Exchange Fee & Levy<br>(per lot per side)<br>交易費用<br>(每張單邊合約) | Cash Settlement Fee<br>(per lot per side)<br>現金結算費用<br>(每張單邊合約) |
|--|--|-----------------------|-------------------------|-----------------------|---|---|
|  | Day Trade<br>即日平倉                            |                       | Overnight Trade<br>持倉過夜 |                       |   |   |
|  | Online<br>網上交易                               | Dial-in<br>電話交易       | Online<br>網上交易          | Dial-in<br>電話交易       |   |   |
| Hang Seng Index Futures <sup>*1</sup><br>恆生指數期貨 <sup>*1</sup>        | HK\$30 up<br>港幣 30 元起                        | HK\$50 up<br>港幣 50 元起 | HK\$50 up<br>港幣 50 元起   | HK\$80 up<br>港幣 80 元起 | HK\$10.54 <sup>3</sup><br>港幣 10.54 元 <sup>3</sup>             | HK\$10 <sup>3</sup><br>港幣 10 元 <sup>3</sup>                     |
| Mini Hang Seng Index Futures <sup>*2</sup><br>小型恆生指數期貨 <sup>*2</sup> | HK\$15 up<br>港幣 15 元起                        | HK\$20 up<br>港幣 20 元起 | HK\$25 up<br>港幣 25 元起   | HK\$40 up<br>港幣 40 元起 | HK\$3.60 <sup>3</sup><br>港幣 3.60 元 <sup>3</sup>               | HK\$3.50 <sup>3</sup><br>港幣 3.50 元 <sup>3</sup>                 |
| H-shares Index Futures <sup>*1</sup><br>國企指數期貨 <sup>*1</sup>         | HK\$30 up<br>港幣 30 元起                        | HK\$40 up<br>港幣 40 元起 | HK\$50 up<br>港幣 50 元起   | HK\$60 up<br>港幣 60 元起 | HK\$4.04 <sup>3</sup><br>港幣 4.04 元 <sup>3</sup>               | HK\$3.50 <sup>3</sup><br>港幣 3.50 元                              |
| Mini H-shares Index Futures <sup>*2</sup><br>小型國企指數期貨 <sup>*2</sup>  | HK\$15 up<br>港幣 15 元起                        | HK\$20 up<br>港幣 20 元起 | HK\$25 up<br>港幣 25 元起   | HK\$40 up<br>港幣 40 元起 | HK\$2.10 <sup>3</sup><br>港幣 2.10 元 <sup>3</sup>               | HK\$2.00 <sup>3</sup><br>港幣 2.00 元 <sup>3</sup>                 |
| HSTECH Futures <sup>*1</sup><br>恒生科技指數期貨 <sup>*1</sup>               | HK\$60<br>港幣 60 元                            |                       | HK\$100<br>港幣 100 元     |                       | HK\$5.54 <sup>3</sup><br>港幣 5.54 元 <sup>3</sup>               | HK\$2.50 <sup>3</sup><br>港幣 2.50 元 <sup>3</sup>                 |
| Sector Index Futures <sup>*1</sup><br>行業指數期貨 <sup>*1</sup>           | HK\$60<br>港幣 60 元                            |                       | HK\$100<br>港幣 100 元     |                       | HK\$2.54 <sup>3</sup><br>港幣 2.54 元 <sup>3</sup>               | HK\$2.00 <sup>3</sup><br>港幣 2.00 元 <sup>3</sup>                 |
| USD/CNH Futures <sup>*</sup><br>美元兌人民幣(香港)期貨 <sup>*</sup>            | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 8.00 <sup>3</sup><br>人民幣 8.00 元 <sup>3</sup>              | RMB 8.00 <sup>3</sup><br>人民幣 8.00 元 <sup>3</sup>                |
| Mini USD/CNH Futures <sup>*</sup><br>小型美元兌人民幣(香港)期貨 <sup>*</sup>     | RMB 20<br>人民幣 20 元                           |                       | RMB 35<br>人民幣 35 元      |                       | RMB 1.60 <sup>3</sup><br>人民幣 1.60 元 <sup>3</sup>              | RMB 1.60 <sup>3</sup><br>人民幣 1.60 元 <sup>3</sup>                |
| EUR/CNH Futures <sup>*</sup><br>歐元兌人民幣(香港)期貨 <sup>*</sup>            | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 5.00 <sup>3</sup><br>人民幣 5.00 元 <sup>3</sup>              | RMB 5.00 <sup>3</sup><br>人民幣 5.00 元 <sup>3</sup>                |
| JPY/CNH Futures <sup>*</sup><br>日圓兌人民幣(香港)期貨 <sup>*</sup>            | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 5.00 <sup>3</sup><br>人民幣 5.00 元 <sup>3</sup>              | RMB 5.00 <sup>3</sup><br>人民幣 5.00 元 <sup>3</sup>                |
| AUD/CNH Futures <sup>*</sup><br>澳元兌人民幣(香港)期貨 <sup>*</sup>            | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 5.00 <sup>3</sup><br>人民幣 5.00 元 <sup>3</sup>              | RMB 5.00 <sup>3</sup><br>人民幣 5.00 元 <sup>3</sup>                |
| CNH/USD Futures <sup>*</sup><br>人民幣(香港)兌美元期貨 <sup>*</sup>            | USD 5.00<br>5.00 美元                          |                       | USD 9.00<br>9.00 美元     |                       | USD 0.60 <sup>3</sup><br>0.60 美元 <sup>3</sup>                 | USD 0.60 <sup>3</sup><br>0.60 美元 <sup>3</sup>                   |
| London Aluminium Mini Futures <sup>*</sup><br>倫敦鋁期貨小型合約 <sup>*</sup> | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 3.44 <sup>3</sup><br>人民幣 3.44 元 <sup>3</sup>              | RMB 3.00 <sup>3</sup><br>人民幣 3.00 元 <sup>3</sup>                |
| London Zinc Mini Futures <sup>*</sup><br>倫敦鋅期貨小型合約 <sup>*</sup>      | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 3.44 <sup>3</sup><br>人民幣 3.44 元 <sup>3</sup>              | RMB 3.00 <sup>3</sup><br>人民幣 3.00 元 <sup>3</sup>                |
| London Copper Mini Futures <sup>*</sup><br>倫敦銅期貨小型合約 <sup>*</sup>    | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 5.44 <sup>3</sup><br>人民幣 5.44 元 <sup>3</sup>              | RMB 5.00 <sup>3</sup><br>人民幣 5.00 元 <sup>3</sup>                |
| London Nickel Mini Futures <sup>*</sup><br>倫敦鎳期貨小型合約 <sup>*</sup>    | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 3.44 <sup>3</sup><br>人民幣 3.44 元 <sup>3</sup>              | RMB 3.00 <sup>3</sup><br>人民幣 3.00 元 <sup>3</sup>                |
| London Tin Mini Futures <sup>*</sup><br>倫敦錫期貨小型合約 <sup>*</sup>       | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 3.44 <sup>3</sup><br>人民幣 3.44 元 <sup>3</sup>              | RMB 3.00 <sup>3</sup><br>人民幣 3.00 元 <sup>3</sup>                |
| London Lead Mini Futures <sup>*</sup><br>倫敦鉛期貨小型合約 <sup>*</sup>      | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 3.44 <sup>3</sup><br>人民幣 3.44 元 <sup>3</sup>              | RMB 3.00 <sup>3</sup><br>人民幣 3.00 元 <sup>3</sup>                |
| 5-Year China MOF T-Bond Futures<br>五年期中國財政部國債期貨                      | RMB 40<br>人民幣 40 元                           |                       | RMB 70<br>人民幣 70 元      |                       | RMB 5.50 <sup>3</sup><br>人民幣 5.50 元 <sup>3</sup>              | RMB 5.00 <sup>3</sup><br>人民幣 5.00 元 <sup>3</sup>                |

The Margin Levels (i.e. Initial margin deposit and Maintenance margin deposit) of the Futures Contracts are the same as the determination from HKEX. Please refer to the relevant circular as notified by HKEX from time to time or contact you're account executive for details. 指數期貨的基本按金及維持按金將與交易所訂定之要求一致。詳情請參閱交易所不時發出之通告或致電相關客戶經理。

Website 網址:  
[http://www.hkex.com.hk/chi/market/rm/rm\\_dcrm/riskdata/margin\\_hkcc/margin\\_c.htm](http://www.hkex.com.hk/chi/market/rm/rm_dcrm/riskdata/margin_hkcc/margin_c.htm)

|  | Commission (per lot per side)<br>佣金 (每張單邊合約)   | Exchange Fee & Levy (per lot per side)<br>交易費用 (每張單邊合約) | Exercise Fee (per lot per side)<br>行駛費用 (每張單邊合約) | Cash Settlement Fee (per lot per side)<br>現金結算費用 (每張單邊合約) | Expiry Fee (per lot per side)<br>到期費用 (每張單邊合約) |
|--|--|---|--|---|--|
| Hang Seng Index Options <sup>1</sup><br>恆生指數期權 <sup>1</sup>        | 1% Premium (Minimum HK\$30 / Maximum HK\$100)<br>期權金 1% (最低收費港幣 30 元 / 最高收費港幣 100 元) | HK\$10.54<br>港幣 10.54 元                                 | HK\$30<br>港幣 30 元                                | HK\$10<br>港幣 10 元   | HK\$30<br>港幣 30 元                              |
| Mini Hang Seng Index Options <sup>2</sup><br>小型恆生指數期權 <sup>2</sup> | 1% Premium (Minimum HK\$30 / Maximum HK\$100)<br>期權金 1% (最低收費港幣 30 元 / 最高收費港幣 100 元) | HK\$2.10<br>港幣 2.10 元                                   | HK\$30<br>港幣 30 元                                | HK\$2.00<br>港幣 2.00 元                                     | HK\$30<br>港幣 30 元                              |
| H-shares Index Options <sup>1</sup><br>國企指數期權 <sup>1</sup>         | 1% Premium (Minimum HK\$25 / Maximum HK\$80)<br>期權金 1% (最低收費港幣 25 元 / 最高收費港幣 80 元)   | HK\$4.04<br>港幣 4.04 元                                   | HK\$10<br>港幣 10 元                                | HK\$3.50<br>港幣 3.50 元                                     | HK\$10<br>港幣 10 元                              |

|   |  |   |                   |                       |                   |
|---|--|---|-------------------|-----------------------|-------------------|
| Mini H-shares Index Options <sup>2</sup><br>小型國企指數期權 <sup>2</sup>                                 | 1% Premium (Minimum HK\$25 / Maximum HK\$80)<br>期權金 1% (最低收費港幣 25 元 / 最高收費港幣 80 元) | HK\$1.54<br>港幣 1.54 元   | HK\$10<br>港幣 10 元 | HK\$1.00<br>港幣 1.00 元 | HK\$10<br>港幣 10 元 |
| HSTECH Options <sup>1</sup><br>恒生科技指數期權 <sup>1</sup>  | 1% Premium (Minimum HK\$25 / Maximum HK\$80)<br>期權金 1% (最低收費港幣 25 元 / 最高收費港幣 80 元) | HK\$5.54<br>港幣 5.54 元   | HK\$10<br>港幣 10 元 | HK\$2.50<br>港幣 2.50 元 | HK\$10<br>港幣 10 元 |
| USD/CNH Options<br>美元兌人民幣(香港)期權   | 1% Premium (Minimum RMB25 / Maximum RMB80)<br>期權金 1% (最低收費人民幣 25 元 / 最高收費人民幣 80 元) | RMB8.00<br>人民幣 8.00 元   | RMB25<br>人民幣 25 元 | RMB8.00<br>人民幣 8.00 元 | RMB25<br>人民幣 25 元 |
| Charge for insufficient Initial Margin Deposit for Futures Trading<br>新開倉之期貨保證金 (俗稱“孖展”) 按金繳款不足罰款 |  | 1% per day for any late or under payment of Initial Margin Deposit<br>(minimum HK\$200 per day)<br>以孖展按金欠款額以每日 1%按日計算 (最低收費為每日港幣 200 元) |                   |                       |                   |

\* After-Hours Futures Trading session will be booked at T+1.

\* 收市後時段交易將當作 T+1。

<sup>1</sup> HK\$50 per index point

<sup>2</sup> HK\$10 per index point

<sup>3</sup> The amount indicated above is subject to change by the Exchange from time to time.

<sup>1</sup> 每點價值港幣 50 元

<sup>2</sup> 每點價值港幣 10 元

<sup>3</sup> 以上金額不時可予更改。

|                       | Commission (per lot per side)<br>佣金 (每張單邊合約) |                   |                         |                   | Exchange Fee & Levy<br>(per lot per side)<br>交易費用<br>(每張單邊合約) |                     | Commission Levy<br>(per lot per side)<br>證監會費用<br>(每張單邊合約) |
|-----------------------|--|-------------------|-------------------------|-------------------|---|---------------------|--|
|                       | Day Trade<br>即日平倉                            |                   | Overnight Trade<br>持倉過夜 |                   | Tier 1<br>第一類   | HK\$3.0<br>港幣 3.0 元 | HK\$0.1<br>港幣 0.1 元  |
| Stock Futures<br>股票期貨 | Online<br>網上交易                               | Dial-in<br>電話交易   | Online<br>網上交易          | Dial-in<br>電話交易   | Tier 2<br>第二類   | HK\$1.0<br>港幣 1.0 元 |  |
|                       | HK\$15<br>港幣 15 元                            | HK\$25<br>港幣 25 元 | HK\$25<br>港幣 25 元       | HK\$40<br>港幣 40 元 | Tier 3<br>第三類   | HK\$0.5<br>港幣 0.5 元 |  |

|                       | Commission (per lot per side)<br>佣金 (每張單邊合約)  |   | Exchange Fee & Levy (per lot per side)<br>交易費用 (每張單邊合約) |                     | Exercise Fee^(per lot)<br>行使費用^(每張合約) |
|-----------------------|---|---|---|---------------------|---------------------------------------|
|                       | Online<br>網上交易  | Dial-in<br>電話交易   | Tier 1<br>第一類   | HK\$3.0<br>港幣 3.0 元 | HK\$2.0<br>港幣 2.0 元                   |
| Stock Options<br>股票期權 | 0.8% Premium<br>(Minimum HK\$16 /<br>Maximum HK\$80)<br>期權金 0.8%<br>(最低收費港幣 16 元 / 最<br>高收費港幣 80 元) | 1% Premium<br>(Minimum HK\$20 /<br>Maximum HK\$100)<br>期權金 1%<br>(最低收費港幣 20 元 / 最高<br>收費港幣 100 元) | Tier 2<br>第二類   | HK\$1.0<br>港幣 1.0 元 |                                       |
|                       |   |   | Tier 3<br>第三類   | HK\$0.5<br>港幣 0.5 元 |                                       |

^ Exercise and assignment of stock options involve trading of the underlying stock. CSBHK will charge the commission of phone orders and other related charges (including stamp duty, transaction levy and trading fee).

^ 行使或被行使之股票期權涉及正股買賣。中信証券經紀香港將收取電話落盤之佣金及其他相關費用 (包括印花稅、交易徵費、交易費)。

| Global Futures<br>環球期貨   |                        |                             |                               |                        |
|--|------------------------|-----------------------------|-------------------------------|------------------------|
| 環球期貨(LME 除外)網上佣金 15 美元·經紀佣金 20 美元 (每張單邊合約)。<br>Global Futures (Exclude LME) Internet Commission USD15, Brokerage Commission USD20 (per lot per side).<br>LME 市場佣金 30 美元 (每張單邊合約)。<br>LME market Commission USD30 (per lot per side). |                        |                             |                               |                        |
| Exchange<br>交易所  | CBOT 芝加哥商品交易所          | CME 芝加哥商業交易所                | CME 芝加哥商業交易所                  | COMEX 紐約商品交易所          |
|  | Corn 玉米                | Australian Dollar 澳元        | Mini NASDAQ-100 小型納斯達克指數      | Gold 紐約期金              |
|  | Mini Dow Jones 小型道瓊斯指數 | British Pound 英鎊            | Mini S&P 500 小型標準普爾 500 指數    | High Grade Copper 紐約原銅 |
|  | Soybean Meal 黃豆粉       | Canadian Dollar 加拿大元        | New Zealand Dollar 新西蘭元       | Silver 紐約期銀            |
|  | Soybean Oil 黃豆油        | Euro 歐元                     | Nikkei 225(USD) 日經 225 指數(美元) |                        |
|  | Soybeans 黃豆            | Euro / Japanese Yen 歐元 / 日元 | Nikkei 225(YEN) 日經 225 指數(日元) |                        |
|  | Wheat 小麥               | Euro / GBP 歐元 / 英鎊          | Renminbi 人民幣                  |                        |
|  |                        | Japanese Yen 日元             | S&P 500 標準普爾 500 指數           |                        |
|  |                        | Live Cattle 活牛              | Swiss Franc 瑞士法郎              |                        |
|  |                        | Mini Euro 迷你歐羅              |                               |                        |

| Exchange 交易所 | NYMEX 紐約商業交易所              | SGX 新加坡交易所*   | LME 倫敦金屬交易所 |
|--------------|----------------------------|---|-------------|
|              | Light Sweet Crude Oil 紐約原油 | China A50 中國 A50 指數*  | Aluminum 鋁  |
|              | Gasoline 汽油                | Mini Nikkei 225 小型日經 225 指數*  | Copper 銅    |
|              | Heating Oil 取暖油            | Nikkei 225 日經 225 指數*   | Lead 鉛      |
|              | Natural Gas 天然氣            | MSCI Singapore Free (SiMSCI) Index 新加坡指數*                               | Nickel 鎳    |
|              | Platinum 紐約白金              | USD/CNH FX Futures 美元/離岸人民幣*  | Tin 錫       |
|              |                            | TSI Iron Ore CFR China (62% Fe Fines) Index Futures 中國鐵礦石 (62% 鐵粉)指數期貨* | Zinc 鋅      |

\* After-Hours Futures Trading session in SGX will be booked at T+1. Please refer to the table below for the T+1 session time.

\* 新加坡交易所的收市後時段交易將當作 T+1。請參考以下的收市後的 T+1 時段。

| Exchange 交易所 | Future Products 期貨產品   | T Session (HK Time) T 時段 (香港時間) | T+1 Session (HK Time) T+1 時段 (香港時間) |
|--------------|--|---------------------------------|-------------------------------------|
| SGX          | China A50 中國 A50 指數  | 9.00am to 4.30pm                | 5.00pm to 5.15am                    |
| SGX          | Mini Nikkei 225 小型日經 225 指數  | 7.30am to 2.25pm                | 2.55pm to 5.15am                    |
| SGX          | Nikkei 225 日經 225 指數   | 7.30am to 2.25pm                | 2.55pm to 5.15am                    |
| SGX          | Singapore Free Index 新加坡指數   | 8.30am to 5.20pm                | 5.50pm to 5.15am                    |
| SGX          | USD/CNH FX Futures 美元/離岸人民幣  | 7.25am to 5.55pm                | 6.15pm to 5.15am                    |
| SGX          | TSI Iron Ore CFR China (62% Fe Fines) Index Futures 中國鐵礦石 (62% 鐵粉)指數期貨 | 7.25am to 8.00pm                | 8.15pm to 5.15am                    |

\* The above information is for reference only. We do not guarantee the accuracy and completeness of such information. The above information is subject to change without notice. Please visit the website of the relevant exchange for the latest information.

\* 以上資料謹供參考，本公司不保證此類資訊的準確性及完整性。以上所載資料如有更改，恕不另行通知。客戶可瀏覽相關交易所網站以取得最新資訊。

Exchanges Website: 交易所網址:

CME, CBOT, NYMEX, – <http://www.cmegroup.com>

LME – <http://www.lme.com>

SGX – <http://www.sgx.com>

#### Notes 備註:

- For enquiries, please call our Customer Services Hotline at (852) 2237 9338 / 400 818 0338 or visit any of our branches.  
如欲查詢，歡迎致電客戶服務熱線(852) 2237 9338 / 400 818 0338 或與任何分行聯絡。
- The HKD, USD & CNY prime rate using is 5.25%, 5.5% and 5.3% separately.  
現時港元、美元及人民幣的最優惠利率分別為 5.25%、5.5% 及 5.3%。
- Customer should note the risks involved in securities investment. Stock prices may fall as well as rise.  
客戶應了解買賣股票帶有風險，股票價格可跌可升。
- Fees and charges above are subject to change from time to time. CSBHK reserves the rights to adjust charges and introduce service charges not included above without prior notice. All out-of-pocket expenses incurred for customer will be collected from customer.  
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- Service to be provided at sole discretion of CSBHK. Client has to submit relevant supporting document as required by CSBHK (e.g. properly stamped contract notes / instrument of transfer, original written instruction from account holder).  
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