

Cross-boundary Wealth Management Connect Northbound Service

**Seize the Opportunities in China to
Embark on a New Chapter of Prosperity**

**Comprehensive investment products empower you to
capture opportunities and surpass your wealth goals**

What's more, you can enjoy fabulous reward

CITIC Securities Wealth Management (Hong Kong) collaborates with the mainland partner broker CITIC Securities South China Company Limited ("CITIC Securities") to launch the "Cross-boundary Wealth Management Service", providing you with high-quality cross-border wealth management services to capture new investment opportunities in GBA. From October 1, 2026 to December 31, 2026 ("Promotion Period"), eligible customers successfully opening a "Northbound" remittance account and completing the designated missions can enjoy the fabulous reward.

Cross-boundary WMC – Northbound

During the promotion period, eligible customers successfully opening a "Northbound" remittance account and an investment account, as well as remit a designated amount to investment account, will be entitled to the fund unit reward.



Fund Unit Reward

equivalent value of up to **HK\$2,000***

*Eligible customers have to hold the CITIC Securities Wealth Management (Hong Kong) securities account and activate the fund access to be eligible for the relevant reward. For the details of the reward, please refer to the terms and conditions.

Terms and Conditions:

"Cross-boundary WMC – Northbound " Welcome Reward (the "Promotion")

- CITIC Securities Wealth Management (HK) (hereinafter referred to as "**the Company**") is a wealth management platform established by CITIC Securities Brokerage (Hong Kong) Limited.
- The promotion period is from October 1, 2026 to December 31, 2026 ("**Promotion Period**").
- This welcome reward is not applicable to corporate and institutional investor customers, employee stock ownership plan customers, designated broker customers, employees, brokers, and Account Executives of CITIC Securities Brokerage (HK) Limited and its subsidiaries.
- Eligibility criteria for eligible customers to enjoy the welcome reward:
 - Complete the designated requirements listed below during the Promotion Period:
 - Successfully open the "Cross-boundary WMC – Northbound" remittance account; AND
 - Successfully open the "Cross-boundary WMC – Northbound" investment account; AND
 - Successfully remit the designated amount to the "Cross-boundary WMC – Northbound" investment account ; Please refer to the table below for the designated amount requirements.
 - Eligible customers have to remit the designated amount into the "Cross-boundary WMC - Northbound" investment account on or before December 31, 2026.
 - Eligible customers have to hold CITIC Securities Wealth Management (Hong Kong) securities account and activate the fund access to be eligible for the relevant reward on or before December 31, 2026.

Remittance Amount

¥ 100,000 - ¥ 200,000

¥ 200,001 - ¥ 500,000

≥ ¥ 500,001

Fund Unit Reward

fund units with equivalent value of HKD 500

fund units with equivalent value of HKD 1,200

fund units with equivalent value of HKD 2,000

Fund Code

HK0001098414

HK0001099974

Fund Name

Harvest USD Money
Market Fund

ChinaAMC Asia High Dividend ETF
(Unlisted Class)

Product Risk Level

1

2

Note:

- The Fund and its product risk level above is as of September 30, 2026. In case the product risk level of the Fund listed above is revised when the fund units are deposited, such Fund will not be used as the reward and will be replaced by another Fund of equal value.
 - For Fund allocation:
 - Customer Risk Level 1: Eligible customers will receive HK0001098414 – Harvest USD Money Market Fund
 - Customer Risk Level 2 or above: Eligible customers will receive HK0001099974 – ChinaAMC Asia High Dividend ETF (Unlisted Class)
- The unit price of the fund units to be rewarded will be based on the unit price on one of the dealing dates on or before March 31, 2027 (the "**Pricing Date**") as solely determined by the Company. The Company will subscribe for the fund units for the eligible customer on the Pricing Date. Eligible customers can check the exact number of fund units rewarded at his/her securities account afterwards.
 - The Company will deposit the rewarded fund units into eligible customers' fund account on or before March 31, 2027. If a customer does not wish to receive these free fund units, please notify us by emailing csi-callcentre@citics.com.hk on or before February 12, 2027. This action will be treated as forfeiture of the free fund units, and no alternative compensation will be provided to eligible customers through any other means.
 - The fund units received by the eligible customers do not represent any investment advice, or recommendation from the Company to deal in any of the securities or investments. Investment involves risks. There can be no guarantee, and no representation is made, on the performance of the fund units or as to whether losses would be incurred/profit would be made from the fund units. Eligible customers must make investment decisions in light of his/her own investment objectives, investment experience, financial situation or other needs, and where necessary consult his/her own professional advisers before making any investment decisions.
 - If an eligible customer terminates or cancels any of the relevant services in respect of which offers would be awarded or no longer holds any accounts with the Company before or at the time of receiving the relevant offers, he/ she will be disqualified.
 - In case of any disputes, the Company reserves the right of final decision, and the decision will be binding on all parties.
 - The Company reserves the rights to suspend, modify or terminate the Promotion and change these Terms and Conditions at any time without prior notice.
 - Before making any investment decisions, investors should consider their own investment experience, investment objectives, financial situation, investment period, risk tolerance level, liquidity needs, (if applicable) their assessment of the potential performance of the relevant securities, tax situation, need for diversified investment, and other relevant circumstances. Investors should not make investment decisions solely based on the offers of this plan. If in doubt, they should seek independent professional advice.
 - This content has not been reviewed by the Hong Kong Securities and Futures Commission, and you should act with caution.
 - These "Terms and Conditions" are governed by and interpreted in accordance with the laws of the Hong Kong Special Administrative Region. All parties agree to submit to the exclusive jurisdiction of the Hong Kong courts.