



中信証券經紀(香港)有限公司
CITIC SECURITIES BROKERAGE (HK) LIMITED

證券帳戶號碼:
Securities Account No.: _____

客戶交收指示表格
CLIENT SETTLEMENT INSTRUCTION FORM

注意: 為方便輸入,請以英文填寫本表格
Note: For easy input, please fill this form in English

客戶姓名:
Client Name: _____

請從上述戶口按以下資料辦理有關指示,簽署人確認此表格所記載之資料均正確無誤,並對所發出指令承擔全部責任。
Please effect for the above account the settlement of the securities transaction listed below. The undersigned confirm the accuracy of all information contained herein and accept full responsibility for the instruction given.

- 存入/Receive 本交收指示不牽涉實益擁有權的變更
There is no change of beneficiary ownership (NCBO) through effecting this settlement instruction
- 提取/Deliver

國際證券號碼 ISIN / 區域編號 Local Code	產品名稱 Instrument Name	數量 Quantity	貨銀對付交收 DVP "D"/ 無須付款交收 FOP "F"	款項數額(貨幣) Amount (Currency)	指示輸入號碼 (此欄由本公司填寫) SI Input No. (For internal use only)

交收地點 Settlement Location: _____

清算代理編號 Clearing Agent code: _____

對手名稱 Name of Counterparty: _____

聯絡人 Contact Person: _____ 聯絡電話號碼 Contact Telephone No.: _____

交易日期(日/月/年) Trade Date (dd/mm/yyyy): _____ 交收日期(日/月/年) Settlement Date (dd/mm/yyyy): _____

其他指示 Other Instructions: _____

簽署: _____ 日期: _____
Signature(s): _____ Date: _____
客戶/獲授權人士 Client/Authorized Person

以下由本公司填寫: / For internal use only:			賬戶開戶日期: / Account Opening Date: _____	
Existing Debit Interest Rate: % p.a. (i.e. Prime Rate + %)			是否為新賬戶: / New Account: 是 Yes / 否 No	
Before withdrawal		After withdrawal		擬備: Prepared By:
Credit Limit (a)				結餘查核: Balance Checked By:
Margin value (b)				簽名核對: Signature Verified By:
Account balance (c)		Account balance (f) = (c) - withdrawal		輸入: Input By:
Available margin (d) = (b)+ (c)		Available margin (g) = (b)+ (f)		輸入核對: Input Checked By:
Loan to margin ratio (e)=Max [(c)-(d)/(b) x 100%]		Loan to margin ratio (h) =-(f)/(b) x 100%		中央結算輸入: CCASS Input By:
批核: Approved By:				中央結算批核: CCASS Authorized By: