



中信証券經紀(香港)有限公司

CITIC SECURITIES BROKERAGE (HK) LIMITED

證券帳戶號碼:

Securities Account No.:

客戶交收指示表格 (海外股票市場適用)

CLIENT SETTLEMENT INSTRUCTION FORM (FOR OVERSEAS)

注意: 為方便輸入,請以英文填寫本表格

Note: For easy input, please fill this form in English

客戶姓名:

Client Name: _____

請從上述戶口按以下資料辦理有關指示,簽署人確認此表格所記載之資料均正確無誤,並對所發出指令承擔全部責任。

Please effect for the above account the settlement of the securities transaction listed below. The undersigned confirm the accuracy of all information contained herein and accept full responsibility for the instruction given.

☐ 存入/Receive☐ 本文收指示不牽涉實益擁有權的變更

There is no change of beneficiary ownership (NCBO) through effecting this settlement instruction

☐ 提取/Deliver

國際證券號碼 ISIN / 區域編號 Local Code	產品名稱 Instrument Name	數量 Quantity	貨銀對付交收 DVP "D"/ 無須付款交收 FOP "F"	款項數額(貨幣) Amount (Currency)	指示輸入號碼 (此欄由本公司填寫) SI Input No. (For internal use only)

市場類型 Market Type

☐ 美股市場 USA stock market☐ 其他海外市場 Other overseas stock market 市場名稱 Name of market: _____

轉倉方式 Transfer Method

☐ 透過轉倉中介 Via Transfer Agent☐ 透過券商 Via Brokerage Firm

對手方標準結算指令 (SSI):

1.交易所會員號碼(如 DTC 號碼,CHESS 號碼等) Exchange Membership Number (e.g., DTC Number, CHESS Number): _____

2.帳戶號碼 Account Number: _____

3.名稱 Name of Counterparty: _____

4.聯絡人 Contact Person: _____

5.電郵 Contact Email Address: _____

6.聯絡電話號碼 (選填) Contact Telephone No.(If Any): _____

交易日期(如有,日/月/年) Trade Date (If Any DD/MM/YYYY): _____

交收日期(如有,日/月/年) Settlement Date (If Any DD/MM/YYYY): _____

其他指示 Other Instructions: _____

簽署:

Signature(s): _____

日期:

Date: _____

客戶/獲授權人士 Client/Authorized Person