☐ 中信証券經紀(香港)有限公司
CITIC SECURITIES BROKERAGE (HK) LIMITED

*** 證券帳戶號碼:**
Securities Account No.: _____

中央結算交收指示 / 投資者交收指示 / 无纸证券转让指示表格
CCASS SI / ISI / USI INSTRUCTION FORM

注意: 為方便輸入,請以英文填寫本表格
Note: For easy input, please fill this form in English

* 客戶姓名:
Client Name: _____

請從上述戶口按以下資料通過中央交收及結算系統辦理有關指示，簽署人確認此表格所記載之資料均正確無誤，並對所發出指令承擔全部責任。
Please effect for the above account the settlement of the securities transaction listed below via Central Clearing and Settlement System. The undersigned confirm the accuracy of all information contained herein and accept full responsibility for the instruction given.

☐ **存入／Receive**

☐ **提取／Deliver** ☐ **註銷／Cancellation#**

☐ **本交收指示不牽涉實益擁有權的變更***
There is no change of beneficiary ownership (NCBO)* through effecting this settlement instruction

* 股票編號 Stock Code	* 股票名稱 Stock Name	* 數量 Quantity	* 貨銀對付交收 DVP "D"/ 無須付款交收 FOP "F"	款項數額(港幣) Amount (HK$)	**指示輸入號碼 (此欄由本公司填寫) SI Input No. (For internal use only)**

 # 註銷僅適用於上市公司回購 # Cancellation is applicable only to share buybacks by listed companies.

* 對手編號 (中央結算參與者編號 / USI 持有人號碼) Counterparty's ID (CCASS Participant ID / USI Holder No.): _____

對手名稱 (經紀行 / 銀行 / 中央結算投資者/ 證券登記機構):
Name of Counterparty (Broker/ Bank/ CCASS Investor/ Approved Securities Registrars): _____

* USI 持有人名稱(如適用) USI Holder Name (If Applicable): _____

聯絡人 Contact Person: _____ 聯絡電話號碼 Contact Telephone No.: _____

交收日期(日/月/年) Settlement Date (dd/mm/yyyy):_____

其他指示 Other Instructions: _____

* 簽署:
Signature(s): _____
客戶／獲授權人士 Client/Authorized Person

* 日期:
Date:_____

*本人／吾等確認本轉讓不涉及實益擁有權變更，轉讓前後最終實益擁有人相同。本人／吾等保證資料真實、完整及準確，並就失實、遺漏或違反本聲明致中信証券經紀(香港)有限公司蒙受的損失、責任、印花稅、罰款及費用作出彌償。
*I/We confirm that this transfer involves no change in beneficial ownership and that the ultimate beneficial owner(s) remain(s) the same before and after the transfer. I/We warrant that all information is true, complete and accurate, and indemnify CITIC Securities Brokerage (HK) Limited against all losses, liabilities, stamp duty, penalties and expenses arising from any false statement, omission or breach of this declaration.

以下由本公司填寫:／For internal use only:
Existing Debit Interest Rate: % p.a. (i.e. Prime Rate + %)

賬戶開戶日期:／Account Opening Date:_____
是否為新賬戶:／New Account: 是 Yes / 否 No

Before withdrawal		After withdrawal		擬備: Prepared By:	
Credit Limit (a)				結餘查核: Balance Checked By:	
Margin value (b)				簽名核對: Signature Verified By:	
Account balance (c)		Account balance (f) = (c) – withdrawal		輸入: Input By:	
Available margin (d) = (b)+ (c)		Available margin (g) = (b)+ (f)		輸入核對: Input Checked By:	
Loan to margin ratio (e)=Max [0,-(c)/(b) x 100%]		Loan to margin ratio (h) =-(f)/(b) x 100%]		中央結算輸入: CCASS Input By:	
批核: Approved By:				中央結算批核: CCASS Authorized By:	